# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunichi SHIOZAWA et al.

Attn: BOX PCT

TECH CENTER 1600/2900

Serial No. 09/936,989

Docket No. 2001 1298A

Filed September 19, 2001

RHEUMATOID ARTHRITIS GENE AND METHOD FOR DIAGNOSING RHEUMATOID ARTHRITIS [Corresponding to PCT/JP00/01697 Filed March 21, 2000]

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

# SUBMISSION OF EXECUTED DECLARATION

Assistant Commissioner for Patents, Washington, DC 20231 Sir:

Enclosed herewith is an executed Declaration for the above-identified application which was originally filed without an executed Declaration.

The Declaration identifies the application by the Title, name of the inventors, and filing date of the application.

Accordingly, it is submitted that the present application now complies with the requirements of 37 CFR 1.53(d). Enclosed herewith is the \$130.00 surcharge required by 37 CFR 1.16(e).

Early issuance of a filing receipt is therefore requested.

Respectfully submitted, Shunichi SHIOZAWA et al.

Warren M. Cheek, Jr./ Registration No. 33,367 Attorney for Applicants

WMC/dlk Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 October 30, 2001

ATTY DOCKET #: 2001\_1298A

Due Date: n/a

**OUR REF:** 

2001 1298A/WMC/00653

Applicant:

Shunichi SHIOZAWA et al.

Serial No.:

09/936,989 Filing Date: September 19, 2001

Title: RHEUMATOID ARTHRITIS GENE AND METHOD FOR DIAGNOSING

RHEUMATOID ARTHRITIS

Receipt of the following papers is acknowledged:

PTO FEE SHEET, COVER LETTER (TO INCLUDE PTO FEE OF \$130.00), EXECUTED DECLARATION.

> THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEE FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975.

Date: October 30, 2001

Attorney: WMC/dlk

[Check No. 47252

**371 FORMALITIES LETTER** 

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	AT	TY. DOCKET NO.
09/936,989	Shunichi Shiozawa	2001-1298A INTERNATIONAL APPLICATION NO. PCT/JP00/01697	
000513		I.A. FILING DATE	PRIORITY DATE
WENDEROTH, LIND & PONACK, L.L.P. 2033 K STREET N. W. SUITE 800		03/21/2000	03/20/1999
WASHINGTON, DC 20006-1021		CONFIRMATION NO. 2860	

Date Mailed: 10/30/2001

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- · Preliminary Amendments

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the
  application by the International application number and international filing date. The current oath or
  declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL

#### RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

# SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

#### PAULETTE R KIDWELL

Telephone: (703) 305-3656

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,989	PCT/JP00/01697	2001-1298A